



03-27-01

PTO/SB/05(11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
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03/26/01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	56274.US
First Named Inventor	James M. Kennedy
Title	REMOTE INDICIA READING SYSTEM
Express Mail Label No.	EL 600 510 901 US

109/017572  
PTO  
U.S.  
03/26/01**APPLICATION ELEMENTS**  
See MPEP Chapter 600 concerning utility patent application contents.**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- |   |                                     |  |  |
|---|-------------------------------------|--|--|
| 1 | <input checked="" type="checkbox"/> | Fee Transmittal Form (e.g. PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)   |  |
| 2 | <input type="checkbox"/>            | Applicant claims small entity status   |  |
| 3 | <input checked="" type="checkbox"/> | Specification [Total Pages 13]   |  |
| 4 | <input checked="" type="checkbox"/> | Drawing(s) (35 U.S.C 113) [Total Sheets 2]   |  |
| 5 |                                     | Oath or Declaration [Total Pages 2]  |  |
|   | a.                                  | <input checked="" type="checkbox"/> Newly executed (original or copy)  |  |
|   | b.                                  | <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))  |  |
|   | i.                                  | <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named in<br>the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) |  |
|   |                                     | <input type="checkbox"/> Application Data Sheet. See 37 C.F.R. 1.76  |  |
|   |                                     | <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)  |  |
|   |                                     | <b>Nucleotide and/or Amino Acid Sequence Submission</b><br>(if applicable, all necessary)  |  |
|   | a.                                  | <input type="checkbox"/> Computer Readable Form (CRF)  |  |
|   | b.                                  | Specification Sequence Listing on:   |  |
|   |                                     | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or  |  |
|   |                                     | ii. <input type="checkbox"/> paper   |  |
|   | c.                                  | <input type="checkbox"/> Statement verifying identity of above copies  |  |

**ACCOMPANYING APPLICATION PARTS**

- |    |                                     |  |   |
|----|-------------------------------------|--|---|
| 9  | <input type="checkbox"/>            | Assignment Papers (cover sheet & document(s))  |   |
| 10 | <input type="checkbox"/>            | 37 CFR 3.73(b) Statement (when there is an assignee)   | <input type="checkbox"/> Power of Attorney                  |
| 11 | <input type="checkbox"/>            | English Translation Document (if applicable)   |   |
| 12 | <input checked="" type="checkbox"/> | Information Disclosure Statement (IDS)/PTO-1449  | <input checked="" type="checkbox"/> Copies of IDS Citations |
| 13 | <input type="checkbox"/>            | Preliminary Amendment  |   |
| 14 | <input checked="" type="checkbox"/> | Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)  |   |
| 15 | <input type="checkbox"/>            | Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)   |   |
| 16 | <input checked="" type="checkbox"/> | Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i)<br>Applicant must attach form PTO/SB/35 or its equivalent |   |
| 17 | <input type="checkbox"/>            | Other:   |   |

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner

Group / Art Unit:

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**Customer No. **000408** or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

Name	LUEDEKA, NEELY & GRAHAM, P.C.				
Address	P.O. Box 1871				
City	Knoxville	State	Tennessee	Zip Code	37901
Country	U.S.	Telephone	865-546-4305	Fax	865-523-4478

Name (Print/Type)	Mark P. Crockett	Registration No. (Attorney)	47,507
Signature		Date	March 26, 2001

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.  Small Entity payments <u>must</u> be supported by a small entity statement,  otherwise large entity fees must be paid. See Forms PTO/SB09-12.  See 37 C.F.R. §§ 1.27 and 1.28</p>		<p><i>Complete If Known</i></p>	
		Application Number	
		Filing Date	March 26, 2001
		First Named Inventor	James M. Kennedy
		Examiner Name	
		Group / Art Unit	
TOTAL AMOUNT OF PAYMENT		Attorney Docket No	56274.US
(\$)		764	

METHOD OF PAYMENT (check one)						FEE CALCULATION (continued)																																																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p style="margin-left: 20px;">Deposit Account No. <b>12-2355</b>  Deposit Account Name:  <b>LUDEKA, NEELY &amp; GRAHAM</b></p> <p><input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Payment Enclosed: <b>Check No. 43847</b></p>						<p><b>3. ADDITIONAL FEES</b></p> <table style="width: 100%; font-size: small;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td><td>Filing a brief in support of appeal</td><td></td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions relating to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>760</td><td>246</td><td>380</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td colspan="6">Other fee (specify)</td></tr> </tbody> </table>						Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		115	110	215	55	Extension for reply within first month		116	380	216	190	Extension for reply within second month		117	870	217	435	Extension for reply within third month		118	1,360	218	680	Extension for reply within fourth month		128	1,850	228	925	Extension for reply within fifth month		119	300	219	150	Notice of Appeal		120	300	220	150	Filing a brief in support of appeal		121	260	221	130	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional		142	1,210	242	605	Utility issue fee (or reissue)		143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions relating to provisional applications		126	240	126	240	Submission of Information Disclosure Statement		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))		Other fee (specify)					
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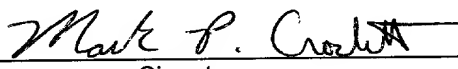
SUBMITTED BY		
Typed or Printed Name	Mark P. Crockett	Registration No 47,507
Signature	<i>Mark P. Crockett</i>	Date March 26, 2001

Approved for use through 10/31/2002. OMB 0651-0031  
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<b>REQUEST AND CERTIFICATION          UNDER          35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	James M. Kennedy
	Title	REMOTE INDICIA READING SYSTEM
	Atty Docket Number	56274.US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 26, 2001  
 Date

  
 Signature

Mark P. Crockett  
 Typed or Printed Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this non-publication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application. (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**